

2019SP

Tyrants, Dictators and Strongmen

Exploring Authoritarian Rule in Asia and the Struggle for Democracy

Section 1

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Course Description/Synopsis:

Following the Cold War, a 'wave' of democracy spread across the globe. As many as 50 countries started the long transition from authoritarianism to liberal democracy. While this was clearly evident in Sub-Saharan Africa, Latin America and many former Soviet satellite states, democracy has had a much more difficult journey in Asia. The continent provides numerous examples of 'hybrid' or 'electoral autocracies', where a thin veneer of quasi-democratic institutions and multi-party elections masks autocratic manipulation, corruption and abuses of power. The emergence of Thaksin Shinawatra in Thailand sparked hopes of democratic expansion, but military-backed coups d'etat have quashed hopes for a revival. Hong Kong was promised universal suffrage by the Chinese as a result of the handover with the British, but limited progress has been made, resulting in social unrest, anxiety and calls for independence. Frustration with crime, the elite-driven status quo, and a growing insurgency, the Philippines turned to the populist former mayor of Davao City Rodrigo Duterte after he promised swift solutions to persistent national challenges. The results have led to an erosion of democratic institutions and a deadly war on drugs and crime.

This interdisciplinary course is divided into three distinct parts. Part one will provide an analytical framework for discussion by establishing core concepts, regime typologies, and baseline indicators for assessing democratization. Part two explores the role of values, norms, and traditions that have ostensibly made Asia resistant to liberal democracy. Finally, part three explores essential case studies: China/Hong Kong, Thailand, and the Philippines (*and a little bit of Africa*) each of whom struggle with persistent challenges, from historical traumas, legitimacy crises, and populist leadership. This class is aimed at third and fourth-year undergraduate students. Previous studies in political science, international relations, or social anthropology would be helpful, but is not required.

Section 2

Course Objectives:

This undergraduate course will explore democratization in Asia after the Cold War, through multiple lenses and prescient case studies. By the end of the course, students will be able to:

- **Understanding and Recognition of Regime Types:** Identifying regime typologies and subtypes in East and Southeast Asia; categorization of states according to typology; contrasting authoritarian and democratic regime types.
- **Evaluating Democratic Progress:** This course will attempt to provide answers to key questions: What factors contributed to democratization or democratic recession in Asia? How can we measure or evaluate democratization? What are the roadblocks or obstacles to further democratization in each country context?
- **Analyze the Country Context** - Students will learn to distinguish histories and events that explain political behavior in each country context, from diplomatic relations,

ideological struggles, past or current conflicts, and national identities.

At the end of this course, students should gain a basic understanding of the challenges facing democratic governance, structures and institutions and gain insight to potential solutions that could strengthen democratic traditions in Asia. Students will be able to use a variety of analytical tools, concepts and methodologies, incorporating evidence from relevant Asian case studies.

Section 3

Classroom, Literature and Materials:

This course combines formal lectures by the instructor with assigned group work and student-led in-class activities. Before each class session, students are expected to have read the assigned reading before coming to class, where participation is both encouraged and expected. Reading newspapers, periodicals and other relevant material is encouraged. Student knowledge and analysis of the assigned material will be tested in class through substantive conversation and through Reading Summary Quizzes, a mid-term examination, and the final exam. Students will also be required to participate actively and equally to small group activities and presentations.

Each session will last 90 minutes, with classes held twice per week. Some classroom time will be allotted to small groups to discuss and prepare for assigned presentations.

Regular attendance is required for this course. Attendance will be taken before the start of each class. Please listen for your name and raise your hand when it is called. Missing more than five (5) classes will result in a 2.5% reduction in your overall grade, with a further reduction for each additional absence. Attendance policy is lenient. If you are ill, please do NOT come to class. No official doctor's certificate is required. If you will be absent, please notify me BEFORE class.

Course Readings: Readings for this course have been uploaded to [Blackboard](#). No textbook is required.

Course Schedule:

Introduction	
1 - 9/6	Lecture 1: Introductions / Syllabus <ul style="list-style-type: none">❖ Please review the course syllabus, become familiar with Blackboard, access all required course readings and familiarize yourself with the KGU Library.
2 - 9/11	Lecture 2: Authoritarian Personalities <ul style="list-style-type: none">❖ Oesterreich, Detlef. "Flight into Security: A New Approach and Measure of the Authoritarian Personality." <i>Political Psychology</i>, vol. 26, no. 2, 2005, pp. 275–297. <i>JSTOR</i>, www.jstor.org/stable/3792615❖ Taub, A. (2016), The Rise of American Authoritarianism, Vox. Web.
Typologies	
3 - 9/13	Typologies - Definitions of Democracy and So-Called 'Hybrid' Regimes <ul style="list-style-type: none">❖ Wigell, M. (2008). "Mapping 'Hybrid Regimes': Regime Types and Concepts in Comparative Politics", <i>Democratization</i>, 15:2, 230-250❖ Diamond, Larry. "The democratic rollback: the resurgence of the

<p>4 - 9/18</p>	<p>predatory state." <i>Foreign Affairs</i> (2008): 36-48.</p> <ul style="list-style-type: none"> ❖ Diamond, Larry. "Thinking about Hybrid Regimes." <i>Journal of Democracy</i>. The Johns Hopkins University Press, DOI: 10.1353/jod.2002.0025 <p>Typologies - Authoritarian, Autocratic and Totalitarian Rule</p> <ul style="list-style-type: none"> ❖ Levitsky S., Way, L. (2002)., "The Rise of Competitive Authoritarianism," <i>Journal of Democracy</i>, 13(2), pp. 51-65 ❖ Levitsky, S, and Lucan A. Way. "Linkage versus Leverage. Rethinking the International Dimension of Regime Change." <i>Comparative Politics</i>, vol. 38, no. 4, 2006, pp. 379–400. JSTOR, www.jstor.org/stable/20434008 ❖ Zakaria, Fareed, "The Rise of Illiberal Democracy," <i>Foreign Affairs</i> (1997). Web. ❖ Rodrik, D. Mukand, S, (2016) "Why Illiberal Democracies are on the Rise," <i>The Huffington Post</i>.
<p>5 - 9/20</p> <p>6 - 9/25</p>	<p>Totalitarian Regimes</p> <ul style="list-style-type: none"> ❖ Byman, D., & Lind, J. (2010). Pyongyang's Survival Strategy: Tools of Authoritarian Control in North Korea. <i>International Security</i>, 35(1), 44-74. ❖ Noland, Marcus. "Why North Korea Will Muddle Through." <i>Foreign Affairs</i>, vol. 76, no. 4, 1997, pp. 105–118. <i>JSTOR</i>, www.jstor.org/stable/20048125 <p>Film - "The Propaganda Game"</p> <ul style="list-style-type: none"> ❖ Take notes on key messages in the documentary film. ❖ Prepare for in-class quiz on course readings, lecture, and class discussions.
<p>7 - 9/27</p>	<p>Quiz #1, Class Discussion</p> <ul style="list-style-type: none"> ❖ Prepare for in-class quiz on course readings, lecture, and class discussions.
<p>The Asian Values Debate</p>	
<p>8 - 10/2</p>	<p>Lee Kuan Yew, Singapore and the Asian Values Debate</p> <ul style="list-style-type: none"> ❖ Hood, S. "The Myth of Asian-Style Democracy." <i>Asian Survey</i> 38/9 (1998): 853-866. ❖ Thompson, Mark R., "Dead idea (still) walking", in <i>Routledge Handbook of Southeast Asian Democratization</i> ed. William Case (Abingdon: Routledge, 20 Apr 2015), Routledge.
<p>9 - 10/4</p> <p>10 - 10/9</p>	<p>Democratization in Asia</p> <ul style="list-style-type: none"> ❖ Subramaniam, S. (2000) "The Asian Values Debate: Implications for the Spread of Liberal Democracy." <i>Asian Affairs</i> 27/1, pp 19-35. <p>Class Debate</p> <ul style="list-style-type: none"> ❖ Prepare for in-class debate. Rules and teams will be discussed in class.
<p>11 - 10/11</p>	<p>Quiz #2, Class Discussion</p> <ul style="list-style-type: none"> ❖ Prepare for in-class quiz on course readings, lecture, and class discussions.

Lessons from Africa	
12 - 10/16	<p>Uganda - 'Light Dictatorship'</p> <ul style="list-style-type: none"> ❖ Tripp, A., "The Changing Face of Authoritarianism in Africa: The Case of Uganda," <i>Africa Today</i>, Vol. 50, No. 3, pp. 3-26. ❖ Mwenda, Andrew M. "Personalizing power in Uganda." <i>Journal of Democracy</i> 18.3 (2007): 23-37. ❖ Sawyer, I., "Africa's Softer, Gentler Coups d'Etat," <i>Foreign Policy</i>. (2015).
13 - 10/18	<p>Lesotho - Security and Electoral Crises</p> <ul style="list-style-type: none"> ❖ Sejanamane, M. (2016) "Lesotho's 2015 elections in the context of an ongoing security vacuum", <i>African Security Review</i>, 25:3, 288-302 ❖ Smith, D., "Lesotho 'coup': a squabble among elites or a sign of social instability?" <i>The Guardian</i>. (2014) ❖ Banerjee, Vasabjit, and Timothy S. Rich. "Lesotho's 2015 Legislative Election: Providing or Undermining Stability?." <i>Journal of Asian and African Studies</i> 52.5 (2017): 586-597.
14 - 10/23	<p>Mid-Term Exam</p> <ul style="list-style-type: none"> ❖ Prepare for in-class examination (essay questions) on all course readings, lecture, and class discussions to date.
China and Hong Kong - National Trauma and Protracted Democracy	
15 - 10/25	<p>China's Century of Humiliation and National Trauma</p> <ul style="list-style-type: none"> ❖ Galtung, J. (1994) Are there therapies for bad cosmologies? , <i>Medicine and War</i>, 10:3, p. 170-182 ❖ Wang, Z. (2012). Never Forget National Humiliation: Historical Memory in Chinese Politics and Foreign Relations. Columbia University Press. Book Chapter. "Memory, Nationalism, and China's Rise." p. 221- 242
16 - 10/30	<p>Hong Kong and Democratization</p> <ul style="list-style-type: none"> ❖ Boniface, Dexter S., and Ilan Alon. "Is Hong Kong Democratizing?" <i>Asian Survey</i>, vol. 50, no. 4, 2010, pp. 786–807, JSTOR. www.jstor.org/stable/10.1525/as.2010.50.4.786 ❖ Tweed, David, "China's Hard Line on Hong Kong Democracy Faces Election Test." <i>Bloomberg</i>. (2016).
17 - 11/1	<p>The Umbrella Movement and the 'Democracy Bomb'</p> <ul style="list-style-type: none"> ❖ Chan, J. (2014) "Hong Kong's Umbrella Movement," <i>The Round Table: The Commonwealth Journal of International Affairs</i>, 103:6, p. 571-580. ❖ Ortman, S. (2015). "The Umbrella Movement and Hong Kong's Protracted Democratization Process", <i>Asian Affairs</i>, 46(1). p. 32-50. ❖ Ping, Yew Chiew, and Kwong Kin-ming. "Hong Kong Identity on the Rise." <i>Asian Survey</i>, vol. 54, no. 6, 2014, pp. 1088–1112., JSTOR, www.jstor.org/stable/10.1525/as.2014.54.6.1088.
18 - 11/6	<p>Quiz #3, Class Discussion</p> <ul style="list-style-type: none"> ❖ DEADLINE: Group Presentation Outline is due before class. ❖ Prepare for in-class quiz on course readings, lecture, and class discussions.

Thailand - Legitimacy Crises	
19 - 11/8	<p>Thailand: Torn Between Democracy and Military Rule</p> <ul style="list-style-type: none"> ❖ Heinze, Ruth-Inge. "Ten Days in October -- Students vs. the Military: An Account of the Student Uprising in Thailand." <i>Asian Survey</i>, vol. 14, no. 6, 1974, pp. 491–508. JSTOR, www.jstor.org/stable/2642679. ❖ Mallet, M. (1978) Causes and Consequences of the October 1976 Coup," <i>Journal of Contemporary Asia</i>, 8/1, 80-103. ❖ Frank C. Darling. "Student Protest and Political Change in Thailand." <i>Pacific Affairs</i>, vol. 47, no. 1, 1974, pp. 5–19. <i>JSTOR</i>, JSTOR, www.jstor.org/stable/2755892.
20 - 11/13	<p>Thailand: The Rise of the Network Monarchy</p> <ul style="list-style-type: none"> ❖ McCargo, D. (2005), "Network Monarchy and Legitimacy Crises in Thailand." By Duncan McCargo. <i>Pacific Review</i>, 18(4), p. 499-519. ❖ Chambers, Paul. "Thailand on the Brink: Resurgent Military, Eroded Democracy." <i>Asian Survey</i>, vol. 50, no. 5, 2010, pp. 835–858. <i>JSTOR</i>, JSTOR, www.jstor.org/stable/10.1525/as.2010.50.5.835.
21 - 11/15	<p>Thailand: The Battle for Bangkok</p> <ul style="list-style-type: none"> ❖ Lintner, Bertie, "The Battle for Thailand: Can Democracy Survive?" <i>Foreign Affairs</i> Vol. 88, No. 4 (July/August 2009). 108-118. ❖ McCargo, D. (2017) "Thailand in 2016" <i>Asian Survey</i>, pp. 150-156. ❖ Short Documentary - "The Battle for Bangkok"
22 - 11/20	<p>Quiz #4, Classroom Discussion</p> <ul style="list-style-type: none"> ❖ Prepare for in-class quiz on course readings, lecture, and class discussions.
Philippines - A Legacy of Populism	
23 - 11/22	<p>The Characteristics of Modern Populism</p> <ul style="list-style-type: none"> ❖ Canovan, M. (1999), Trust the People! Populism and the Two Faces of Democracy. <i>Political Studies</i>, 47: 2–16. ❖ Mudde, Cas. "The populist zeitgeist." <i>Government and Opposition</i> 39.4 (2004): 541-563.
24 - 11/27	<p>The Marcos Legacy - Philippines</p> <ul style="list-style-type: none"> ❖ Zich, A. "The Marcos Era," <i>The Wilson Quarterly</i>, Vol. 10, No. 3 (Summer, 1986), pp. 116-129 ❖ Durdin, Tillman. "The Philippines: Martial Law, Marcos-Style." <i>Asian Affairs</i>, vol. 3, no. 2, 1975, pp. 67–82, JSTOR, www.jstor.org/stable/30171506.
25 - 11/29	<p>Rodrigo Duterte: the New Marcos? - Short Film</p> <ul style="list-style-type: none"> ❖ Curato, Nicole. "Flirting with authoritarian fantasies? Rodrigo Duterte and the new terms of Philippine populism." <i>Journal of Contemporary Asia</i> 47.1 (2017): 142-153. ❖ In-class documentary film
26 - 12/4	<p>Quiz #5, Class Discussion</p>

	❖ Prepare for in-class quiz on course readings, lecture, and class discussions.
Cancelled	Film - TBA ❖ Prepare for group presentations.
Group Presentations	
27 - 12/6	Group Presentations
28 - 12/11	Group Presentations
29 - 12/13	Group Presentations ❖ Prepare for final exam.

Class Etiquette:

- Come to class prepared to learn and engage.
- Do not rest your head on your desk or fall asleep in class. I take great care in preparing for each lecture.
- Falling asleep or deliberately tuning out class discussions is disrespectful. If you need a drink of water or need coffee to help maintain focus, do so.
- Cellular phones or other mobile devices must be put away--turned off or put on silent.
- Laptops are allowed, but should be used for note-taking purposes only.

Section 4

Learning Assessment/Course Rubric:

Reading Summary Quizzes:	25%
Group Presentations:	25%
Mid-Term Exam:	25%
Final Exam:	25%

Reading Summary Quizzes (25%): Five (5) reading summary quizzes will be distributed at the beginning of class. Each quiz will consist of four (4) essay questions covering key messages in assigned readings or as presented during lectures. You will be graded not only on your recollection of key arguments or concepts, but your ability to *critically* think about the material. Each quiz should take you no longer than 50 minutes to complete. Following each quiz, we may divide into small groups and reflect upon each question. Regular attendance in class is critical to ensuring above average academic performance. Please see the course schedule for quiz dates.

Class Presentations (25%): You will be divided into small groups. Each group will give a 40-50 minute presentation on a country of their choice in broader Asia. Your task is to give the class a detailed examination of the country you selected. Your method of analysis can be creative. This is not a descriptive exercise. I am not as much interested in an explanation of events as much as I am interested your analysis of democratization. *Why* is this topic worthy of study? *What* makes it significant and relevant? Audio-visual/multimedia presentations are strongly encouraged. Sample

topics *could* include: consolidation of political power in Japan, the role of the Thai “network” monarchy, the struggle for democracy in Hong Kong, populism/rule of law in the Philippines, nationalism in South Korea, or democracy and Islam in Indonesia. All topics must be approved in advance. Each group must provide an outline of their presentation by Session 18 for approval.

Mid-Term Examination (25%): The mid-term examination will feature four (4) short-answer essay questions over required readings, class lectures, and discussions covered during the first half of the semester. There will also be *one* bonus question, which you can choose to answer for some extra credit. This exam should take you no longer than 90 minutes to complete.

Final Exam (25%): The final exam will feature four (4) short-answer essay questions over required readings, class lectures, and discussions. The exam is cumulative. The exam should take you no longer than 70 minutes to complete.

Section 5

Classroom Policy Information:

- **Plagiarism** (*the practice of taking someone else's work or ideas and passing them off as one's own*) of any kind will not be tolerated. If you intentionally copy an article without crediting the source or original author, it is unethical and will be considered cheating. As a rule, I use plagiarism detection software to screen for potential cases. It is in your own best interest to summarize ideas *in your own words*, or use quotes around thoughts or material that is not your own. Use in-text citations whenever possible. Quotes over 50 words in length should be indented and do NOT count toward the length of an assignment. No answer should contain more than 10% quoted material.
- **Disability Statement:** *This course is open to all students who meet the academic requirements for participation.* Any student who has a need for accommodation based on the impact of a disability should contact the instructor privately to discuss the specific situation as soon as possible (within the first week of class). It is the responsibility of the student to contact the instructor then meet to determine reasonable accommodation based on the official documentation/accommodation list.
- **Syllabus:** I reserve the right to modify the course syllabus at any time, especially if I feel it enhances the learning experience. I will provide advanced warning of any changes.
- **Free Speech/Safe Space:** This course will likely cover difficult topics which will result in a wide range of personal opinions and emotions. It is important to come to class with an open mind and a respect for diversity of opinion. Discrimination, marginalization or other abhorrent behavior based on gender, sex, race, age, national origin, ethnicity, religion, socio-economic status, or sexual orientation will not be tolerated. Violators will be asked to leave the classroom. In this classroom, we respect each other.

Recommended Reading: (suggested, not required)

Adorno, Theodor W., et al. "The authoritarian personality." 1950. New York: Harper.

Altemeyer, Bob. "The other "authoritarian personality"." *Advances in experimental social psychology*. Vol. 30. Academic Press, 1998. 47-92.

Dahl, Robert A. *On Democracy*. New Haven: Yale University Press, 1998. Print.

Huntington, Samuel P. *The Third Wave: Democratization in the Late Twentieth Century*. , 1991. Print.

Chachavalpongpun, Pavin, ed. " Good Coup" Gone Bad: Thailand's Political Development since Thaksin's Downfall. Institute of Southeast Asian Studies, 2014.

De Bary, William Theodore, and Wm Theodore De Bary. *Asian values and human rights: A Confucian communitarian perspective*. Harvard University Press, 1998.

Diamond, Larry. "Hybrid regimes." *In Search of Democracy*. Routledge, 2015. 163-175

Diamond, Larry, Juan Linz, and Seymour Martin Lipset. "Democracy in Developing Countries, Volume 3: Asia." Boulder, CO: Lynne Rienner (1989).

Handley, Paul M. *The king never smiles: a biography of Thailand's Bhumibol Adulyadej*. Yale University Press, 2006.

De Mesquita, Bruce Bueno, et al. *The logic of political survival*. MIT Press, 2005.

Mutalib, Hussin. "Illiberal democracy and the future of opposition in Singapore." *Third World Quarterly* 21.2 (2000): 313-342.

McCargo, Duncan, and Ukrist Pathmanand. *The Thaksinization of Thailand*. Vol. 4. NIAS Press, 2005.

Levitsky, Steven, and Lucan A. Way. *Competitive authoritarianism: Hybrid regimes after the Cold War*. Cambridge University Press, 2010.

Linz, Juan José. *Totalitarian and authoritarian regimes*. Lynne Rienner Publishers, 2000.

Linz, Juan J., and Alfred C. Stepan. "Toward consolidated democracies." *Journal of Democracy* 7.2 (1996): 14-33.

Ma, Ngok. *Political development in Hong Kong: State, political society, and civil society*. Vol. 1. Hong Kong University Press, 2007.

Marcos, Ferdinand Edralin. *The democratic revolution in the Philippines*. Prentice Hall, 1974.

Stenner, Karen. *The authoritarian dynamic*. Cambridge University Press, 2005.

Zhao, Suisheng. "A state-led nationalism: The patriotic education campaign in post-Tiananmen China." *Communist and Post-Communist Studies* 31.3 (1998): 287-302.